

## ROYAL BOROUGH OF WINDSOR & MAIDENHEAD SCHOOLS FORUM

Date:	<b>11 December 2013</b>	<b>AGENDA ITEM: 06</b>
Title:	<b>Targeted additional support for SEN</b>	
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### 1 REPORT SUMMARY AND PURPOSE

- 1.1 RBWM has set aside £150,000 in the High Needs Block of the 2013-14 Schools Budget for the purpose of allocating additional SEN funding to schools. The fund is for schools where, because of a disproportionately high number of pupils with special educational needs, or because of a relatively low numbers of pupils who attract low prior attainment funding, it would be unreasonable to expect the first £6,000 of additional support for their pupils to be provided from their delegated budget share. The protocol for allocating this fund was presented to Schools Forum in December 2012, and set out a relatively complicated process in which schools would make applications for additional funding in October supported by a fully costed SEN provision map. This paper sets out an alternative formula-based methodology for allocating this funding.
- 1.2 **Schools Forum members are asked to comment on the proposals.**

### 2 BACKGROUND

- 2.1 As part of the 2013-14 school funding reforms, the DfE prescribed Low Prior Attainment (LPA) as the proxy indicator for allocating SEN funding to cover the first £6,000 of additional support for high needs pupils. In primary schools, the LPA indicator is based on the proportion of pupils who achieved a score of 78 or less in the Early Years Foundation Stage Profile. In secondary schools, it is based on the proportion of pupils not achieving Level 4 in English and Maths<sup>1</sup> at Key Stage 2.
- 2.2 The DfE acknowledged that some schools with a high proportion of high needs pupils might not attract sufficient funding through the LPA factor to cover the first £6,000 of additional support for these pupils. LAs were therefore allowed to retain a central fund in the High Needs Block to provide additional support to these schools, over and above their delegated budget share, provided that the mechanism for allocating additional funding to schools was clear and fair. In 2013-14 RBWM has set aside £150,000 for this purpose. The protocol for allocating this fund was based on a relatively complicated and subjective process in which schools would make applications for additional funding in October supported by a fully costed SEN provision map.
- 2.3 Subsequent DfE Guidance on the 2014-15 funding reforms emphasises the need for Local Authorities (LA) to adopt a more formulaic and objective approach to allocating this funding, and requires details of the LA's chosen methodology to be included in the final pro forma submission in January. The DfE has also published examples of good practice<sup>2</sup> adopted by other LAs. These are all formula based and focus mainly on the proportion of pupils who have SEN statements.

<sup>1</sup> This will change to English or Maths in 2014-15.

<sup>2</sup> Page 30-33 of [2014 to 2015 revenue funding: additional arrangements for Local Authorities](#) (October 2013).

- 2.4 In line with this guidance, this paper sets out a revised methodology for allocating the £150k additional SEN support funding, for 2013-14 and 2014-15, that moves away from a cumbersome and subjective application process to an objective, formula driven approach.

### **3 PROPOSED METHODOLOGY**

- 3.1 The proposed methodology is based on the premise that schools should have sufficient funding to cover at least the first £6,000 of support for all statemented children. RBWM's statemented bands for mainstream schools range from £1,506 (1U) to £23,094 (5Z). The LPA factor allocates funding for the first £6,000. Anything above this is funded through additional top-up funding, which is outside the scope of this paper.
- 3.2 Allocating a minimum of £6,000 for each statemented pupil including those costing less than £6,000 enables schools to use this funding to support non-statemented children as well. It is important to remember that schools are also expected to use other sources of delegated funding including Age Weighted Pupil Unit (AWPU), funding allocated for deprivation, and English as an Additional Language as well as LPA funding, to support all children with additional needs.
- 3.3 The new methodology proposes a two step approach, set out in detail in **Annex A**:

#### **Step 1 – Allocation to ensure school receives at least £6,000 for every statemented pupil**

- 3.4 We will compare each school's LPA allocation with the amount a school would need in order to provide the first £6,000 of support for every statemented pupil, (the 'notional SEN requirement'). This will be based on a snapshot of statemented pupils in March and October. Where a school's LPA allocation is less than the notional SEN requirement, an additional allocation equivalent to the difference will be made from the central SEN Support fund. Where a school's LPA allocation is greater than the notional SEN requirement, no additional funding will be allocated, as these schools are deemed to have sufficient funding already to cover the first £6,000 of all their statemented children. In such cases there will be no clawback of LPA funding.

#### **Step 2 – Allocation of funding remaining after Step 1**

- 3.5 If the allocations at Step 1 are less than the £150k in the SEN Support Fund, any remaining funding will be distributed on a proportional basis to schools whose percentage of statemented pupils is higher than the average of RBWM schools in their sector (Primary or Middle / Secondary). This recognises the additional financial pressure that schools with high numbers of statemented pupils potentially face. An example of how this would operate is attached at **Annex A**.

### **4 FINANCIAL IMPLICATIONS**

- 4.1 Modelling this proposed methodology using March 2013 and October 2013 statemented pupils shows that there are nine schools which qualify for additional funding under Step 1, receiving between £175 and £23,278. (See **Annex B**) The average allocation is £7,075. The total amount required to fund these schools under Step 1 is £63,678. 49 schools received more funding in their delegated budget shares through the Low Prior Attainment factor than needed to cover the first £6,000 of all their statemented pupils and these schools will not receive any additional funding. The amount above the minimum required ranged from £680 to £282,000. The average was £56,795. This enables them to provide additional support to a wider range of pupils than just those with statements.
- 4.2 After allocating the £63,678 from Step 1, £86,000 remains of the £150k central fund for allocation under Step 2. 20 primary schools had a higher proportion of statemented

pupils than the primary average of 1.5%. Six secondary schools had a higher proportion of stated pupils than the secondary average of 2.9%. By distributing this amount at the rate of £1,201 per stated pupil, primary schools with high levels of stated pupils would receive an average of £1,787 under Step 2, qualifying secondary schools would receive an average of £8,432.

## Protocol for allocating Targeted Additional Support for SEN funding to schools where their delegated SEN budget is insufficient to meet needs..

### Step 1 – Allocation to ensure school receives at least £6,000 for every statement pupil

In the Autumn, RBWM will compare the amount of funding allocated to each school through the Low Prior Attainment Factor with the minimum funding required to fund the first £6,000 of all its statemented pupils (the 'notional SEN requirement'). This includes those where the full cost of the statement is less than £6,000). Support for non statemented pupils is assumed to be covered by other formula funding:

1. The number of statements will be based on a snapshot of statemented pupils in March and October and pro-rated 5/12<sup>th</sup> and 7/12<sup>th</sup> respectively.
2. Multiply the number derived at 1 by £6,000 to determine the 'notional SEN requirement'.
3. Compare the figure at 2 with the notional LPA allocation in each school's individual budget share.
4. Where the LPA allocation is less than the 'notional SEN requirement', allocate an additional amount from the central SEN fund equivalent to the difference to ensure the school receives £6,000 per statemented pupil identified at 1.

#### Example 1 – Allocation to ensure school receives £6,000 for every statemented pupil

School A received an LPA allocation of £14,000 as part of its budget share. It had 4 statemented pupils in March, and 3 statemented pupils in October.

Number of statemented pupils =	$(4 * 5/12) + (3 * 7/12) = 3.5$
Notional SEN requirement =	$3.5 * £6,000 = £21,000$
Is LPA allocation less than Notional SEN requirement?	Yes

**Allocation from central additional SEN support fund = £21,000 - £14,000 = (£7,000)**

### Step 2 – Allocation of remaining funding from central SEN support fund

Any funding remaining from the £150k central fund after Step 1 will be allocated pro-rata to those schools where the proportion of statemented pupils is higher than the average for their sector. The methodology is shown in the following example:

#### Example 2 – Allocation of remaining funding from central SEN support fund

The amount left in the central SEN fund after Step 1 is £70,000.

Primary School B has 180 pupils.

The proportion of statemented pupils in Primary school B is 2.8%. The average for all RBWM primary schools is 2.0%.

Equivalent number of statement pupils above average = $(2.8\% - 2.0\%) * 180 =$	1.4
Total number of statemented pupils above average for all RBWM schools =	60

**Allocation to School B from remaining funding =  $(1.4/60) * £70,000 =$  £1,633**

## 2013-14 Targeted Additional Support for SEN

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Primary Average	1.5%
Secondary Average	2.9%
Remaining budget after step 1 (£150k -£64k)	£86,322
Rate per stated pupil	£1,201

		£6,000					Step 2			Total	
NOR	Annualised No of SEN stated pupils	"Notional SEN requirement"	Low Prior Attainment allocation	LPA funding > notional SEN requirement	LPA funding < notional SEN requirement	Allocation under step 1	Proportion of stated pupils	No. of stated pupils > average	Allocation under step 2		
a	b	c=b*£6,000	d	d-c	d-c	E	f	g = f-1.5%*a Pri g = f-2.9%*a	H = g * £1,201	E + H	
<b>Primary schools</b>											
Alexander First	93	1.42	£8,500	£83,835	£75,335		1.5%	0.00		-	
All Saints CE Junior	224	7.25	£43,500	£125,889	£82,389		3.2%	3.83	£4,593	4,593	
Alwyn Infant	310	2.42	£14,500	£24,869	£10,369		0.8%	0.00		-	
Bisham CE Primary	123	2.75	£16,500	£15,810		(£690)	2.2%	0.87	£1,044	1,734	
Boyne Hill Infant	178	6.46	£38,750	£64,918	£26,168		3.6%	3.74	£4,487	4,487	
Braywood CE First	140	2.00	£12,000	£11,825		(£175)	1.4%	0.00	£175	175	
Burchetts	64	0.00	£0	£10,812	£10,812		0.0%	0.00		-	
Cheapside CE Primary	108	5.00	£30,000	£6,722		(£23,278)	4.6%	3.35	£4,021	27,299	
Clewer Green First	218	1.42	£8,500	£45,493	£36,993		0.6%	0.00		-	
Cookham Dean CE Primary	174	3.00	£18,000	£17,340		(£660)	1.7%	0.34	£408	1,068	
Cookham Rise Primary	205	4.00	£24,000	£52,416	£28,416		2.0%	0.87	£1,040	1,040	
Courthouse Junior	379	7.83	£47,000	£100,215	£53,215		2.1%	2.04	£2,448	2,448	
Dedworth Green First	152	0.42	£2,500	£48,811	£46,311		0.3%	0.00		-	
Ellington Primary	196	6.21	£37,250	£133,719	£96,469		3.2%	3.21	£3,857	3,857	
Eton Porny First	139	1.00	£6,000	£9,132	£3,132		0.7%	0.00		-	
Eton Wick CE First	154	4.42	£26,500	£32,137	£5,637		2.9%	2.06	£2,476	2,476	
Furze Platt Infant	262	4.42	£26,500	£60,143	£33,643		1.7%	0.41	£493	493	
Furze Platt Junior	293	3.42	£20,500	£69,964	£49,464		1.2%	0.00		-	
Hilltop First School	222	1.42	£8,500	£93,868	£85,368		0.6%	0.00		-	
Holy Trinity, Cookham	207	4.00	£24,000	£13,232		(£10,768)	1.9%	0.84	£1,003	11,771	
Holy Trinity, Sunningdale	210	4.00	£24,000	£60,492	£36,492		1.9%	0.79	£948	948	
Holyport CE Primary	350	5.00	£30,000	£82,983	£52,983		1.4%	0.00		-	
Homer First	213	1.00	£6,000	£47,541	£41,541		0.5%	0.00		-	
Kings Court First	215	1.42	£8,500	£46,794	£38,294		0.7%	0.00		-	
Knowl Hill	69	0.00	£0	£10,491	£10,491		0.0%	0.00		-	
Larchfield Primary	178	3.17	£19,000	£59,991	£40,991		1.8%	0.45	£535	535	
Oakfield First	249	3.80	£22,800	£21,545		(£1,255)	1.5%	0.00	£1,255	1,255	
Oldfield Primary	245	6.00	£36,000	£80,479	£44,479		2.4%	2.25	£2,707	2,707	
South Ascot Village Primary	208	2.00	£12,000	£38,837	£26,837		1.0%	0.00		-	
St Edmund Campion	360	3.42	£20,500	£90,685	£70,185		0.9%	0.00		-	
St Edward's Catholic First	222	3.42	£20,500	£25,039	£4,539		1.5%	0.02	£27	27	
St Francis Catholic Primary, South	209	3.58	£21,500	£22,180	£680		1.7%	0.39	£466	466	
St Luke's CE Primary	245	2.58	£15,500	£121,989	£106,489		1.1%	0.00		-	
St Michael's CE Primary	213	2.00	£12,000	£48,857	£36,857		0.9%	0.00		-	
The Queen Anne Royal Free First S	139	1.60	£9,600	£36,984	£27,384		1.2%	0.00		-	
The Royal	101	0.00	£0	£17,916	£17,916		0.0%	0.00		-	
Trinity	131	0.00	£0	£30,825	£30,825		0.0%	0.00		-	
Waltham St Lawrence	117	2.00	£12,000	£19,901	£7,901		1.7%	0.21	£254	254	
Wessex Primary	406	8.83	£53,000	£140,521	£87,521		2.2%	2.63	£3,153	3,153	
Woodlands Park Primary	109	1.00	£6,000	£34,120	£28,120		0.9%	0.00		-	
Wraysbury Primary	329	4.75	£28,500	£74,986	£46,486		1.4%	0.00		-	
Datchet St Mary's CE Primary Acad	218	3.00	£18,000	£90,006	£72,006		1.4%	0.00		-	
Lowbrook Academy	236	4.00	£24,000	£34,251	£10,251		1.7%	0.39	£470	470	
St Mary's Primary	299	2.83	£17,000	£51,326	£34,326		0.9%	0.00		-	
White Waltham Primary	207	4.25	£25,500	£10,799		(£14,701)	2.1%	1.09	£1,303	16,004	
<b>Primary total</b>	<b>9,319</b>	<b>142</b>	<b>£854,900</b>	<b>£2,320,686</b>	<b>£1,517,313</b>	<b>(£51,526)</b>	<b>£51,526</b>	<b>1.5%</b>	<b>30</b>	<b>£35,732</b>	<b>87,258</b>
<b>Secondary schools</b>											
Dedworth Middle	460	13.00	£78,000	£212,965	£134,965		2.8%	0.00		-	
St Edward's Middle	380	17.42	£104,500	£99,755		(£4,745)	4.6%	6.21	£7,458	12,203	
St Peter's CE Middle	232	5.58	£33,500	£55,567	£22,067		2.4%	0.00		-	
Trevelyan	444	5.83	£35,000	£57,671	£22,671		1.3%	0.00		-	
The Windsor Boys'	684	37.83	£227,000	£348,745	£121,745		5.5%	17.66	£21,209	21,209	
Windsor Girls'	554	6.33	£38,000	£264,145	£226,145		1.1%	0.00		-	
Churchmead	570	5.58	£33,500	£315,363	£281,863		1.0%	0.00		-	
Newlands Girls'	931	22.42	£134,500	£228,787	£94,287		2.4%	0.00		-	
Altwood	708	24.08	£144,500	£203,662	£59,162		3.4%	3.20	£3,847	3,847	
Charters	1208	47.67	£286,000	£278,594		(£7,406)	3.9%	12.04	£14,461	21,867	
Cox Green	795	25.33	£152,000	£227,981	£75,981		3.2%	1.89	£2,268	2,268	
Desborough	496	15.75	£94,500	£173,323	£78,823		3.2%	1.12	£1,348	1,348	
Furze Platt Senior	987	22.33	£134,000	£281,927	£147,927		2.3%	0.00		-	
<b>Secondary total</b>	<b>8,449</b>	<b>249</b>	<b>£1,495,000</b>	<b>£2,748,484</b>	<b>£1,265,635</b>	<b>(£12,152)</b>	<b>£12,152</b>	<b>2.9%</b>	<b>42</b>	<b>£50,590</b>	<b>62,742</b>
<b>All schools total</b>	<b>17,768</b>	<b>392</b>	<b>£2,349,900</b>	<b>£5,069,170</b>	<b>£2,782,948</b>	<b>(£63,678)</b>	<b>£63,678</b>	<b>2.2%</b>	<b>72</b>	<b>£86,322</b>	<b>150,000</b>